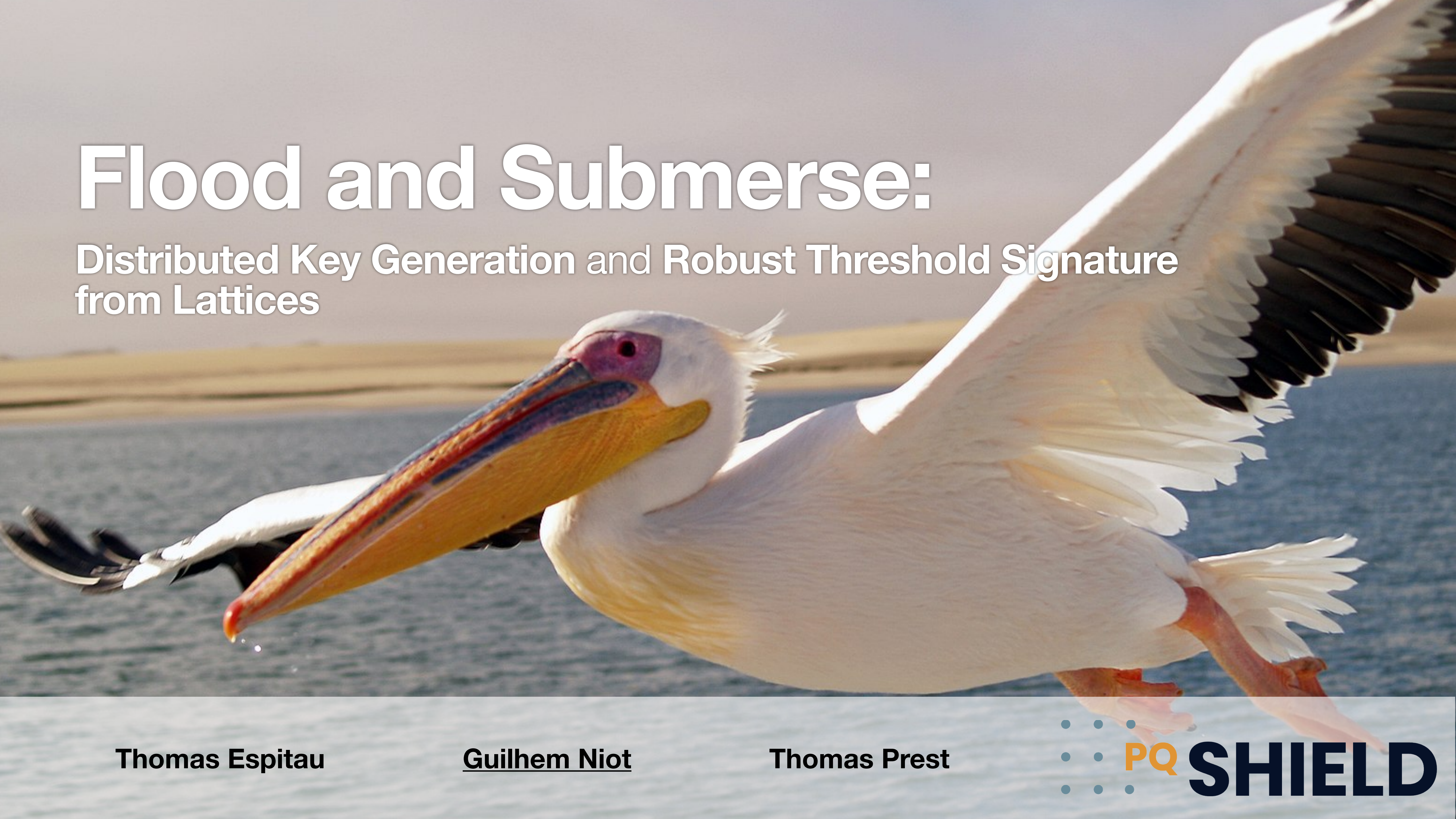


Flood and Submerge:

Distributed Key Generation and Robust Threshold Signature
from Lattices



Thomas Espitau

Guilhem Niot

Thomas Prest

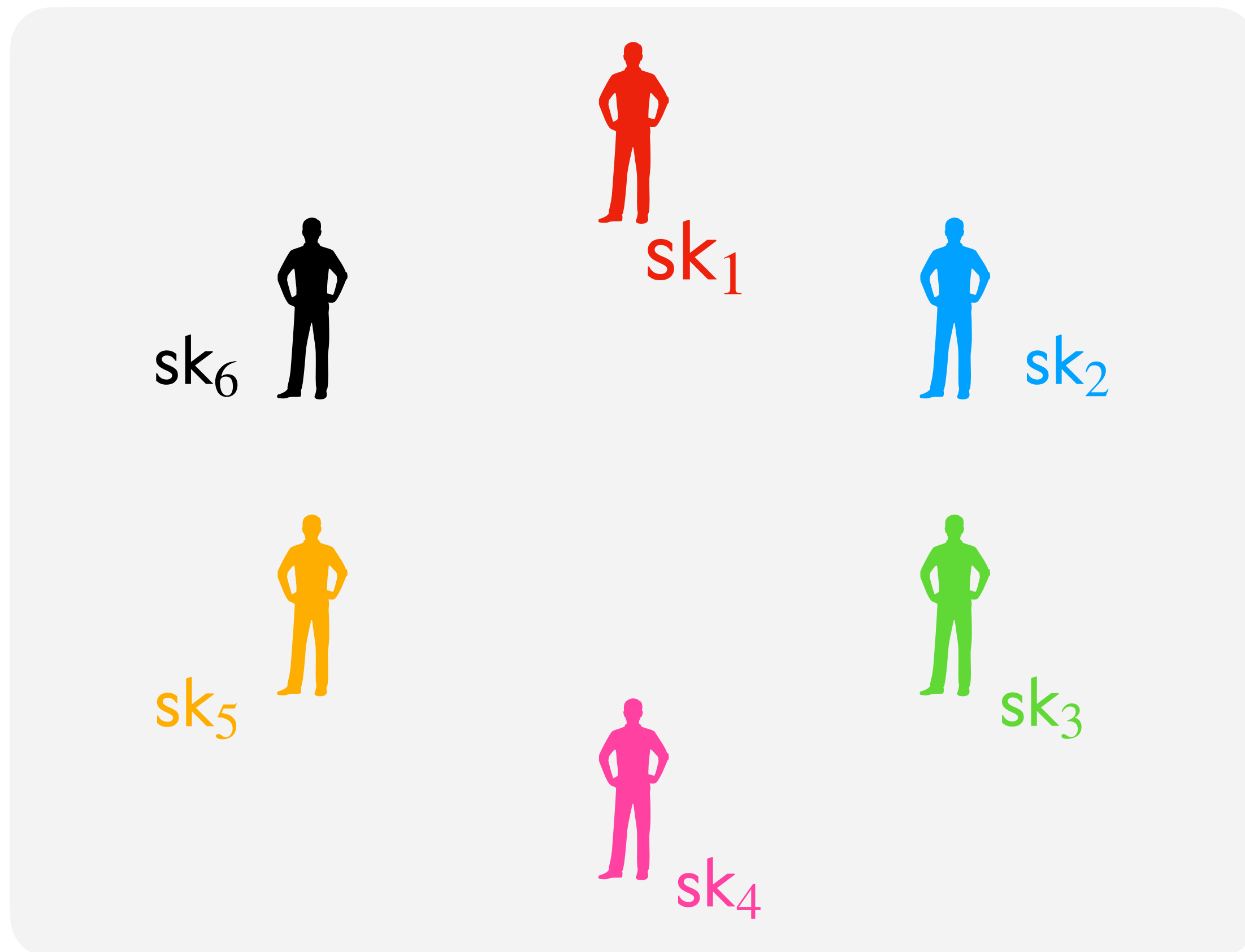


1. Background

$(T\text{-out-of-}N)$ threshold signatures

What are they?

An interactive protocol to distribute signature generation.

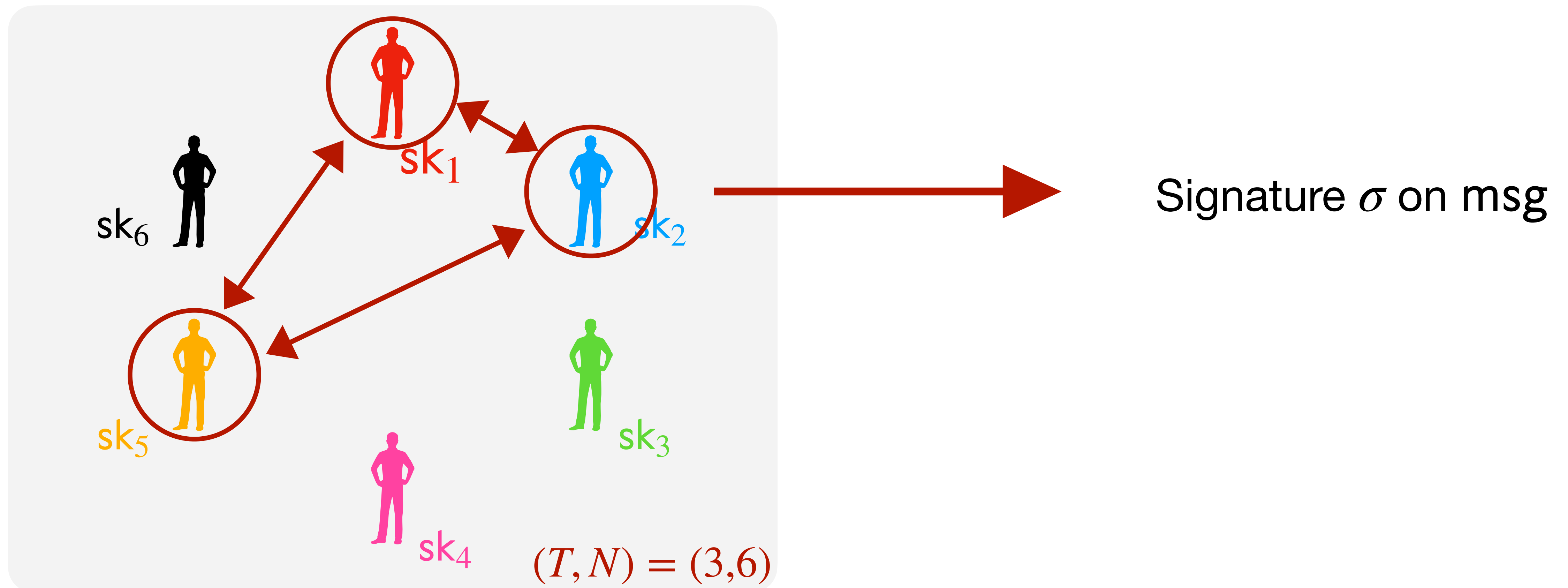


- 1 verification key vk
- 1 partial signing key sk_i per party
- Given at least T -out-of- N partial signing keys, we can sign.

$(T\text{-out-of-}N)$ threshold signatures

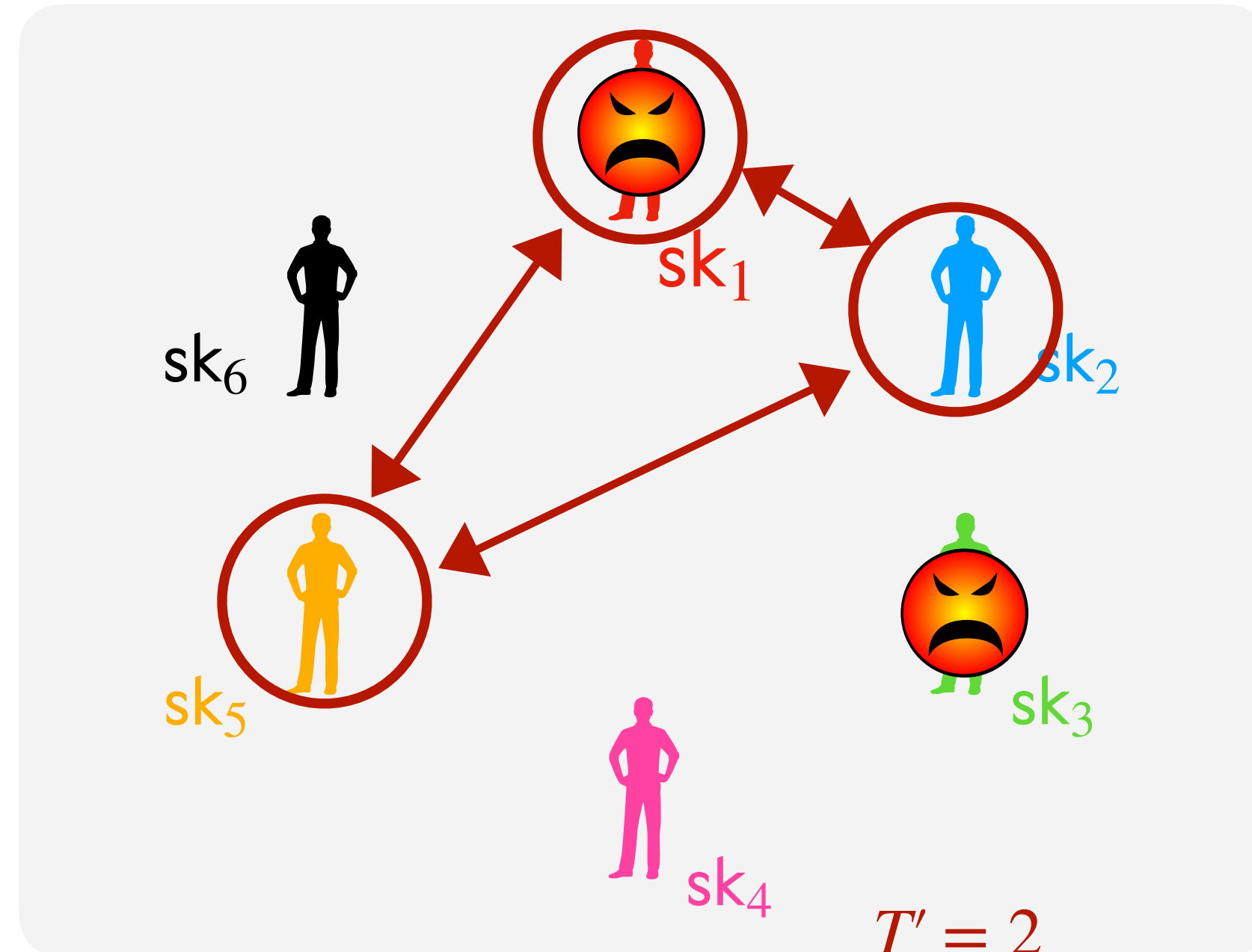
What are they?

An interactive protocol to distribute trust.



Security properties

- **Correctness:** Given at least T -out-of- N partial signing keys, we can sign.
- **Unforgeability:** The signature scheme remains unforgeable even if up to $T' < T$ parties are corrupted. Often $T' = T - 1$.



It's not possible to forge a new signature, even by taking part in the signing protocol.

An active field of research

- Aggregating hash-based signatures: [KCLM22]
- Sequential TS scheme based on isogenies: [DM20]
- Lattice-based threshold signatures:
 - ◆ 2-round TS via FHE: [BGG+18], [ASY22], [GKS23]
 - ◆ TS with noise flooding: 3-round [dPKM+23], 2-round [EKT24], [BKLM+24]

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Open problems for lattice-based schemes

- **Distributed Key Generation (DKG)**
- **Robustness:** Guarantee valid signature in the presence of malicious signers

Our techniques for DKG + robust signing are quite generic:

- in our paper, applied to Plover [EENP+24]: hash-and-sign scheme
- can be applied to all **3-round [dPKM+23]**, 2-round [EKT24], [BKLM+24]

Threshold Raccoon [dPKM+23]

Lyubashevsky's signature scheme (without aborts)

$$\text{vk} = \boxed{\mathbf{t}} = \underbrace{\begin{bmatrix} \mathbf{A}' & \mathbf{I} \end{bmatrix}}_{\mathbf{A}} \cdot \boxed{\mathbf{s}} \in \mathcal{R}_q^k \qquad \text{sk} = \boxed{\mathbf{s}} \in \mathcal{R}_q^\ell \text{ short}$$

$$\mathbf{r} \leftarrow \chi$$

$$\mathbf{w} = \mathbf{A} \cdot \mathbf{r}$$

$$\xrightarrow{\mathbf{w}}$$

$$\xleftarrow{\quad}$$

$$\mathbf{z} = c \cdot \mathbf{s} + \mathbf{r}$$

$$\xrightarrow{\quad}$$

$$c = H(\text{vk}, \text{msg}, \mathbf{w}) \in \mathcal{R}_q \text{ "small"}$$

Accept if

- \mathbf{z} is short
- $\mathbf{A} \cdot \mathbf{z} = c \cdot \mathbf{t} + \mathbf{w}$

Secure if $\|\mathbf{r}\| = \mathcal{O}(\sqrt{Q_s} \cdot \|c\|)$

Threshold Raccoon [dPKM+23]

Threshold signature: use (T, N) -Shamir sharing on secret

$$\text{sk} = \boxed{\mathbf{s}} \in \mathcal{R}_q^\ell \text{ short}$$

Sample polynomial $f \in \mathcal{R}_q^\ell[X]$ s.t.

- $f(0) = \mathbf{s}$ and $\deg f = T - 1$
- Partial signing keys $\text{sk}_i := \llbracket \mathbf{s} \rrbracket_i = f(i)$

For any set S of T shares, reconstruct \mathbf{s} :

$$\mathbf{s} = \sum_{i \in S} L_{S,i} \cdot \llbracket \mathbf{s} \rrbracket_i$$

Lagrange coefficient

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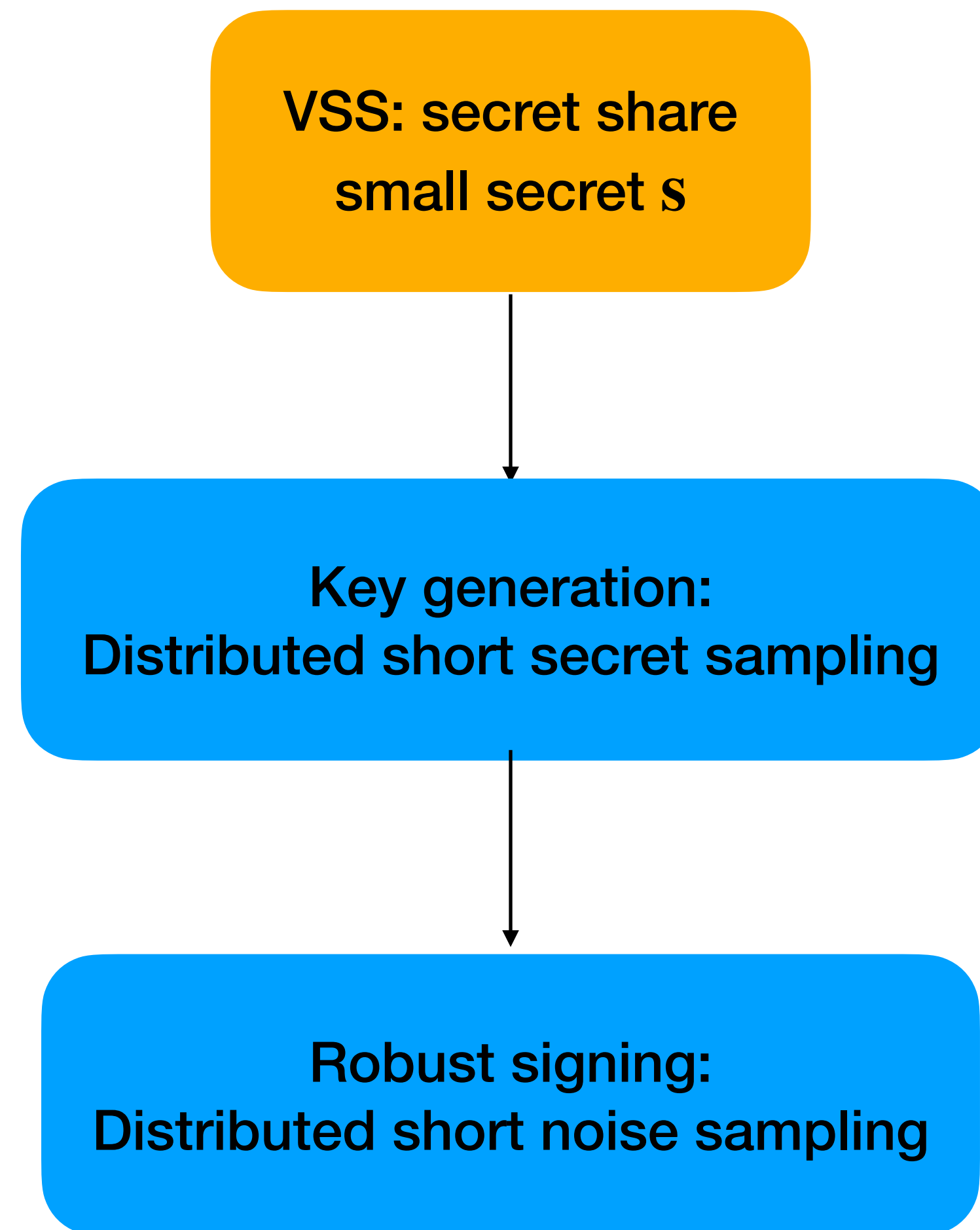
Accept if

- $\mathbf{z} = \sum_{j \in S} \llbracket \mathbf{z} \rrbracket_j = c \cdot \mathbf{s} + \sum_{j \in S} \mathbf{r}_j$ is short
- $\mathbf{A} \cdot \mathbf{z} = c \cdot \mathbf{t} + \mathbf{w}$

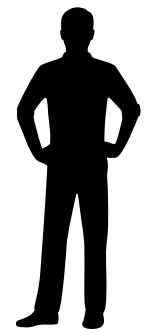
Share of 0

2. Achieving additional threshold properties with Verifiable Secret Sharing

Achieving additional threshold properties with Verifiable Secret Sharing



Verifiable Secret Sharing (VSS)

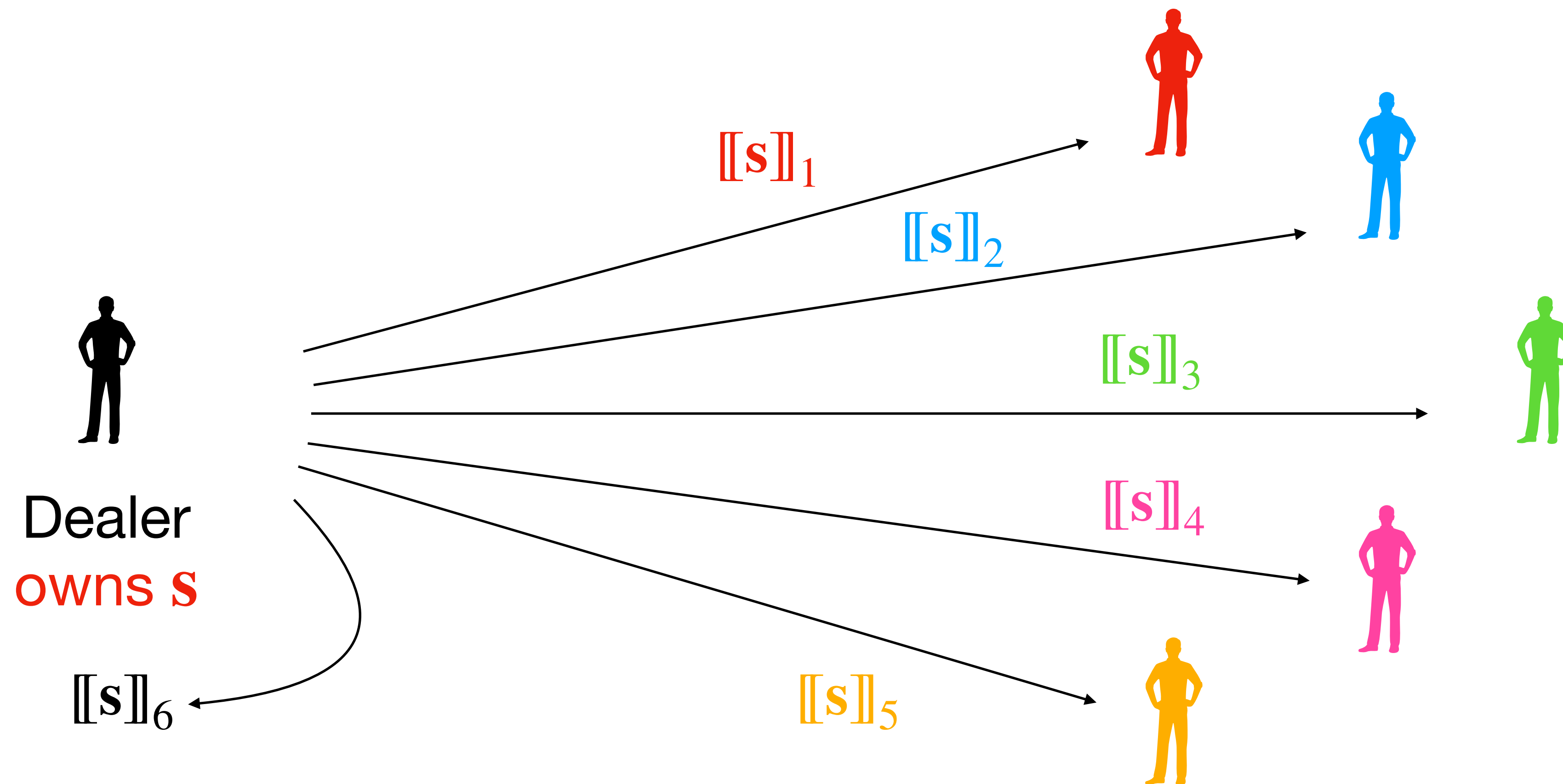


Dealer
owns S



Verifiable Secret Sharing (VSS)

1) Send individual shares

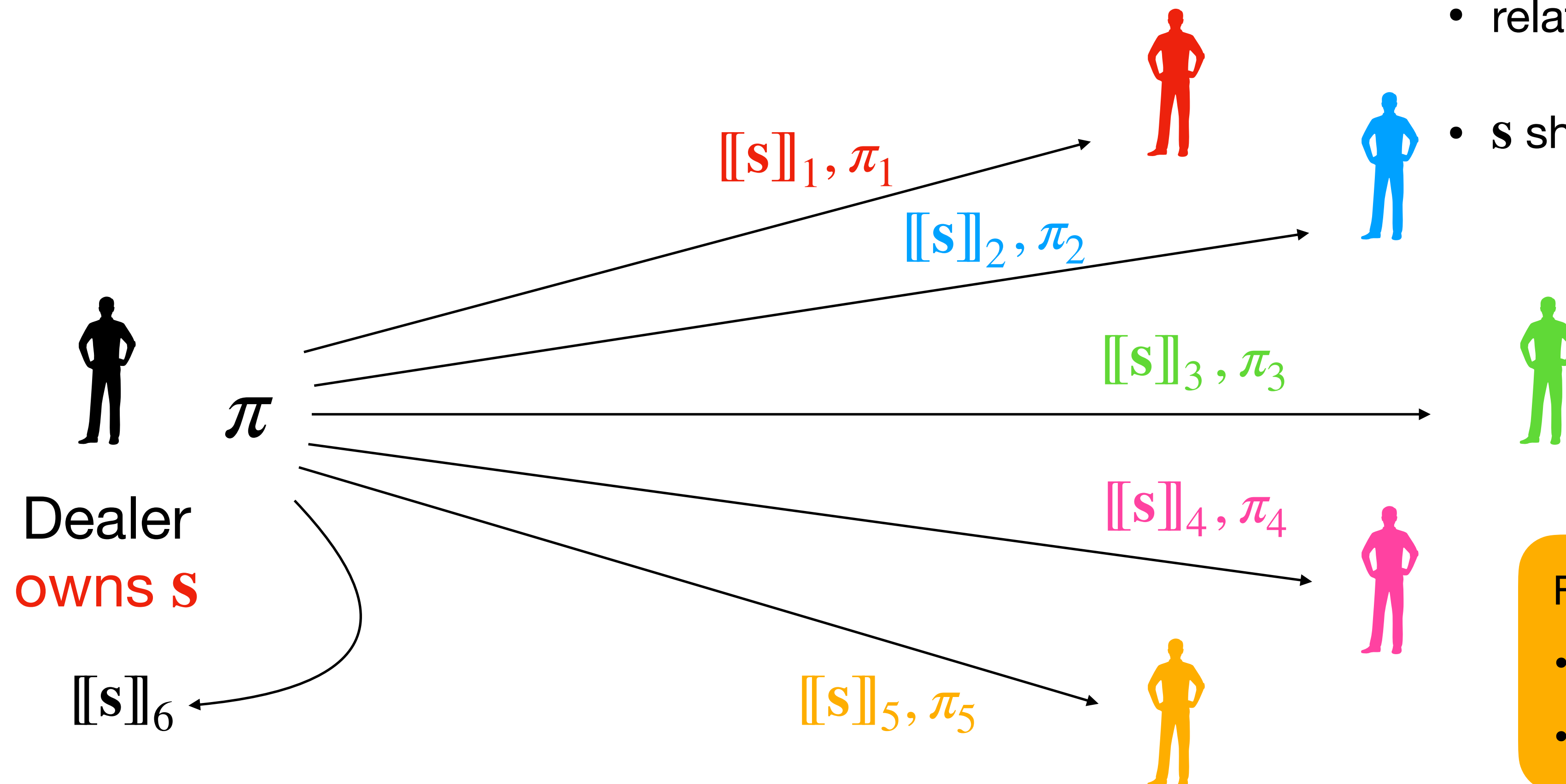


Verifiable Secret Sharing (VSS)

1) Send individual shares

2) Prove correct sharing, i.e.

- relation $s = \sum_{i \in S} L_{S,i} \cdot \llbracket s \rrbracket_i$ for $|S| = T$
- s short



Formally,

- $\text{VSS} . \text{Share}(s) \rightarrow (\pi, (\llbracket s \rrbracket_i, \pi_i)_{1 \leq i \leq N})$
- $\text{VSS} . \text{Verify}(i, \llbracket s \rrbracket_i, \pi, \pi_i) \rightarrow \mathbf{ok} \mid \mathbf{fail}$

Distributed Key Generation (DKG) from VSS

$$vk = \begin{matrix} \boxed{t} \end{matrix} = \underbrace{\begin{matrix} \boxed{A'} & \boxed{I} \end{matrix}}_A \cdot \begin{matrix} \boxed{s} \end{matrix} \in \mathcal{R}_q^k$$

$$sk = \begin{matrix} \boxed{s} \end{matrix} \in \mathcal{R}_q^l \text{ short}$$

Distributed Key Generation (DKG) from VSS

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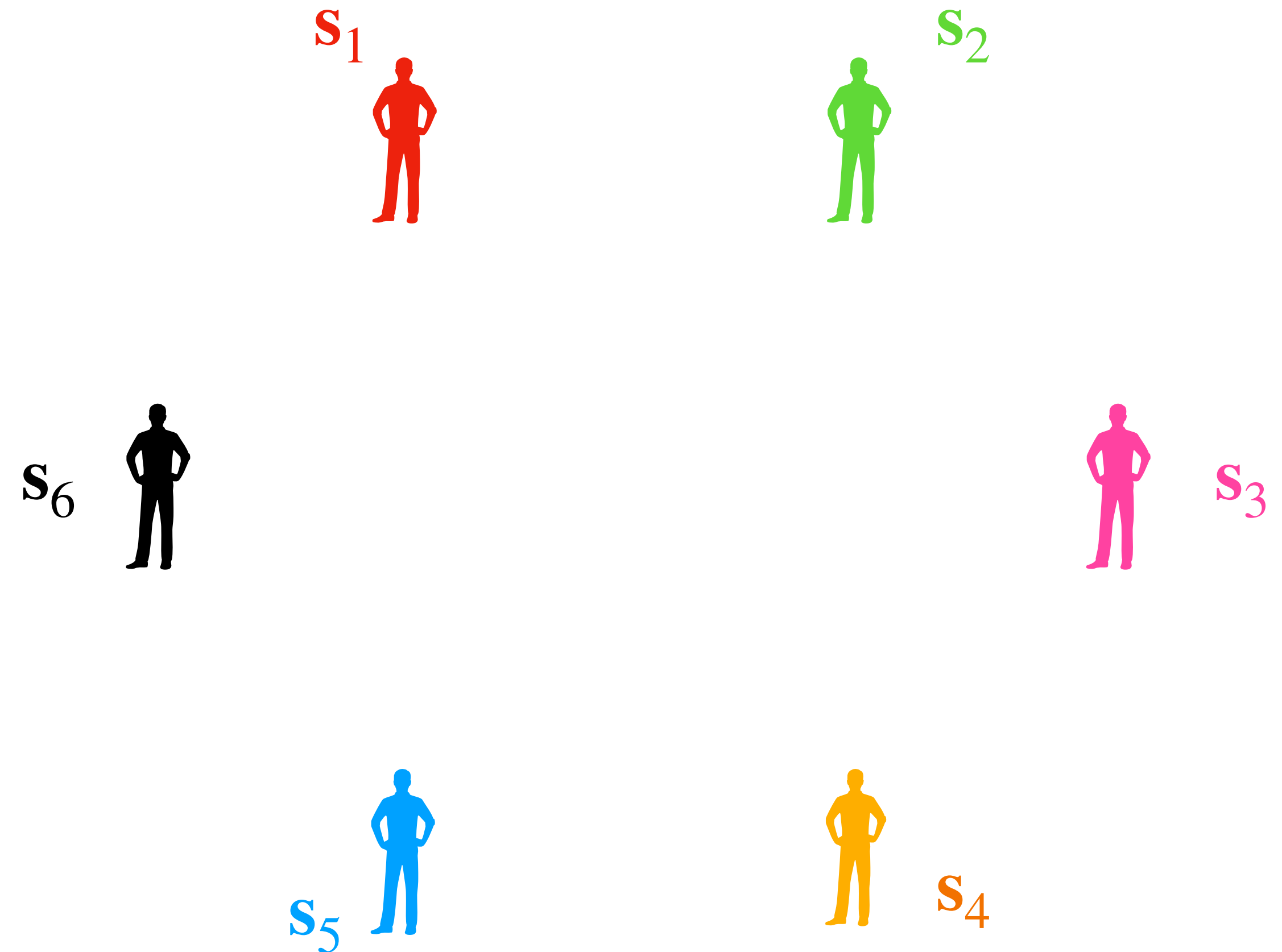
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1. Construct and share secret key $s = \sum_i s_i$

1.a) Sample small secrets s_i

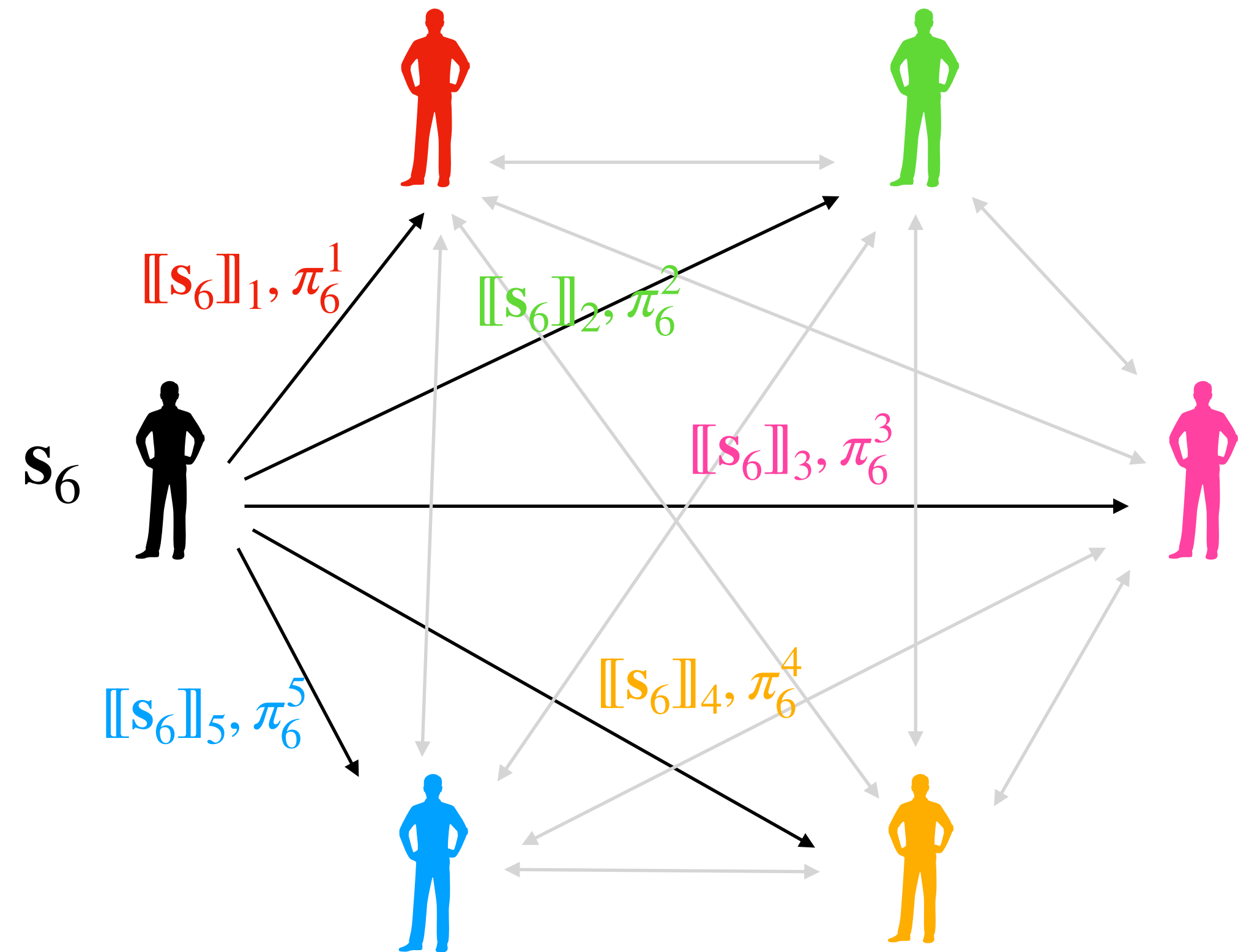


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 - 1.b) Send shares $(\llbracket s_i \rrbracket_j, \pi_i^j)_j$

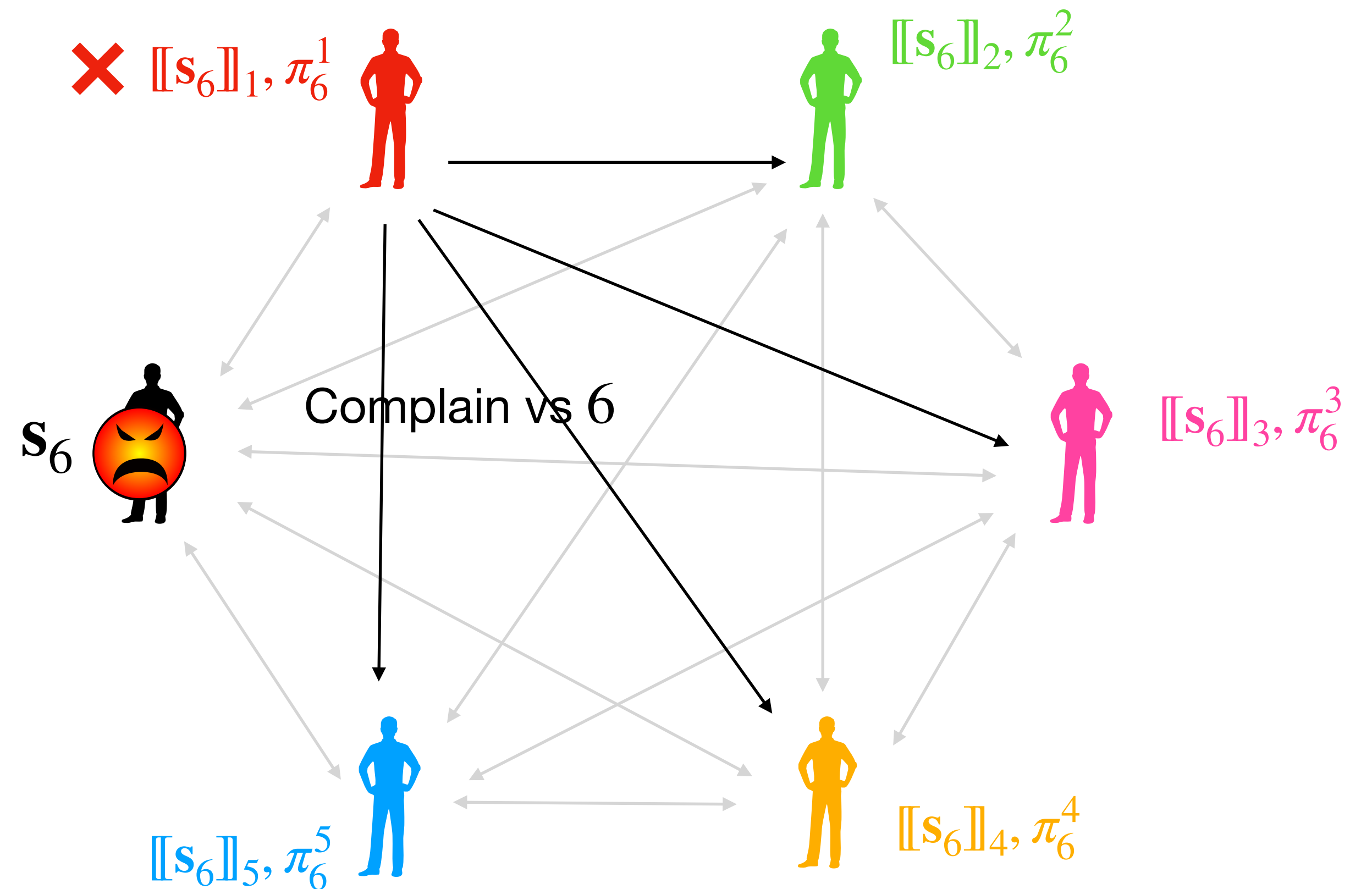


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 - 1.d) Aggregate



Final secret

$$s = \sum_{i \neq 6} s_i$$



Distributed Key Generation (DKG) from VSS

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 - 1.d) Aggregate


$$s_1 = \sum_{j \neq 6} \llbracket s_j \rrbracket_1$$


 s_2

Final secret

$$s = \sum_{i \neq 6} s_i$$

 s_3




 s_5

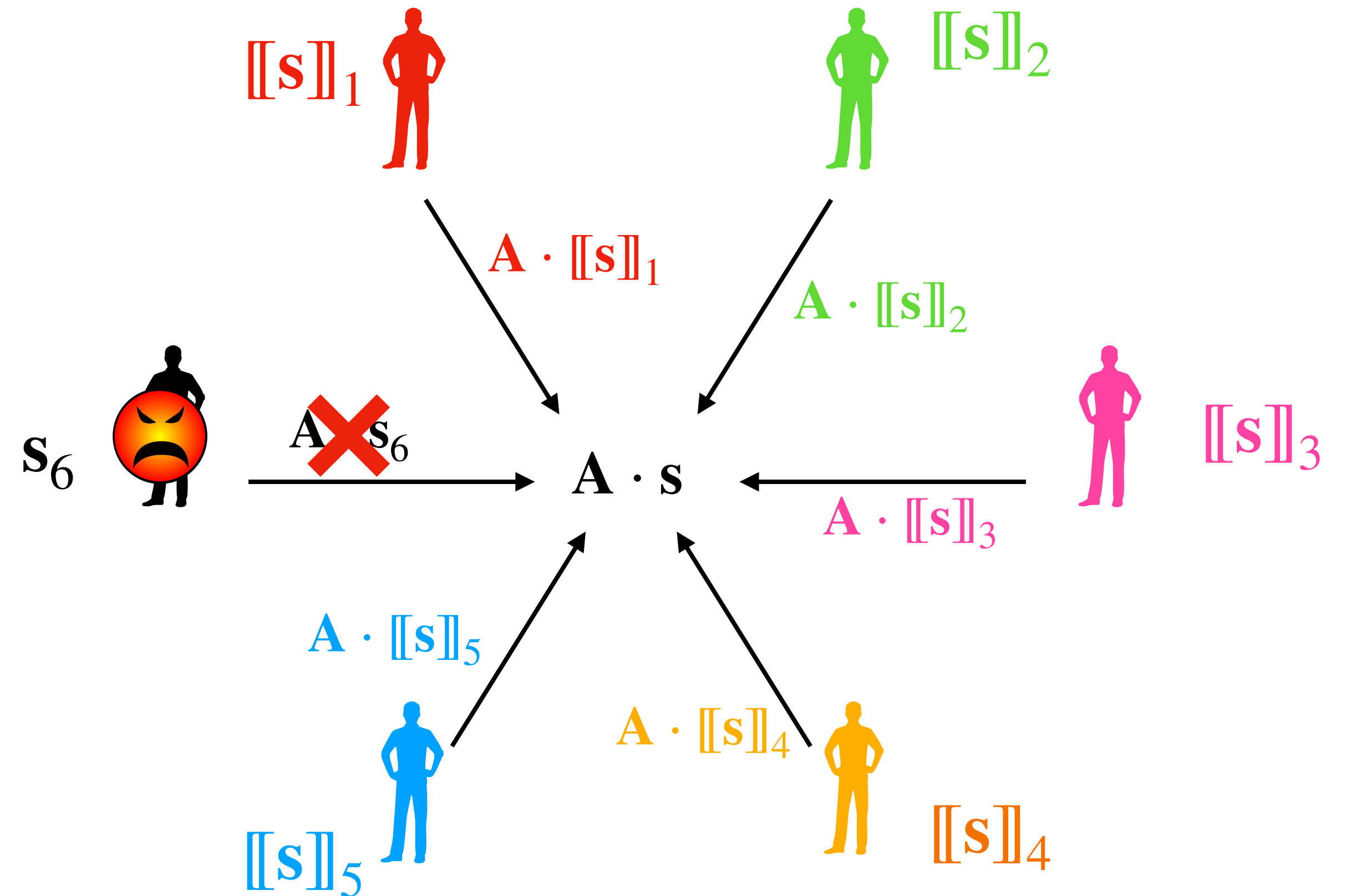
 s_4

Distributed Key Generation (DKG) from VSS

1. Construct and share secret key $s = \sum_i s_i$
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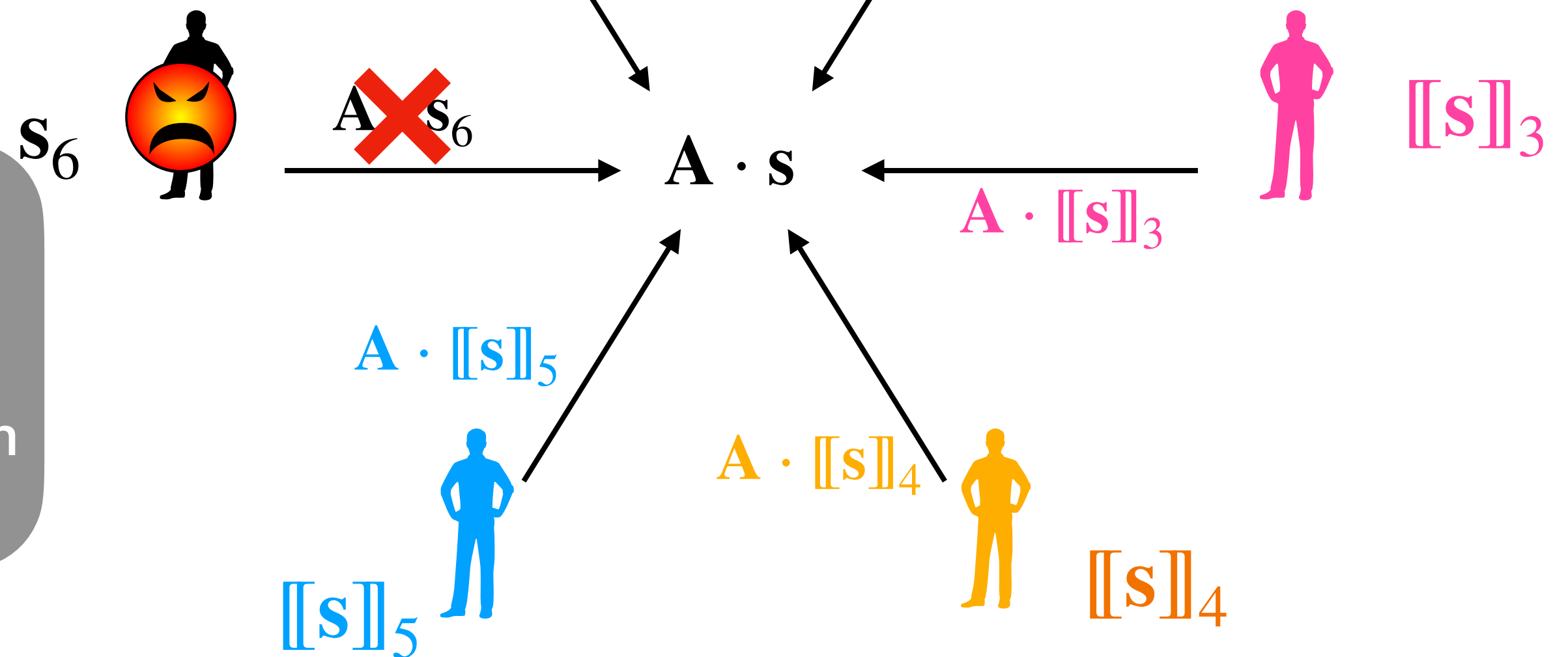
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Use Reed-Solomon error correction to recover $vk = A \cdot s$
 → can only support $T'=T/3$ corruption



Robust Signing with VSS

Threshold Raccoon

$$\mathbf{r}_i \leftarrow \chi$$

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$$\begin{array}{c} \xrightarrow{\text{cmt}_i = H(\mathbf{w}_i)} \\ \xleftarrow{(\text{cmt}_j)_{j \in S}} \end{array}$$

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$$[[\mathbf{z}]]_i = c \cdot L_{S,i} \cdot [[\mathbf{s}]]_i + \mathbf{r}_i + \Delta_i \xrightarrow{\mathbf{z}_i}$$

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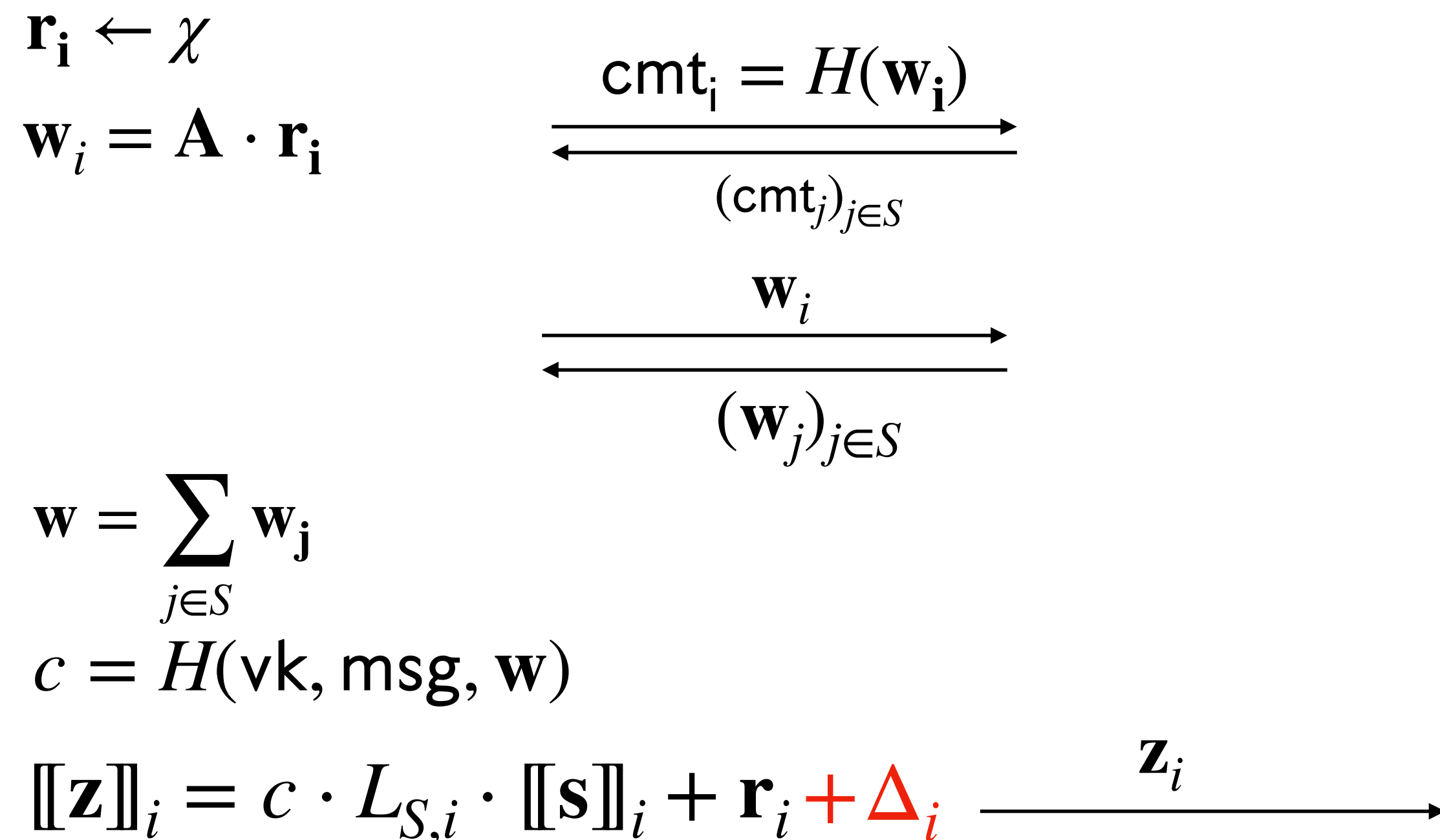
$$[[\mathbf{z}]]_i = c \cdot L_{S,i} \cdot [[\mathbf{s}]]_i + \mathbf{r}_i + \Delta_i \xrightarrow{\mathbf{z}_i}$$

Pelican

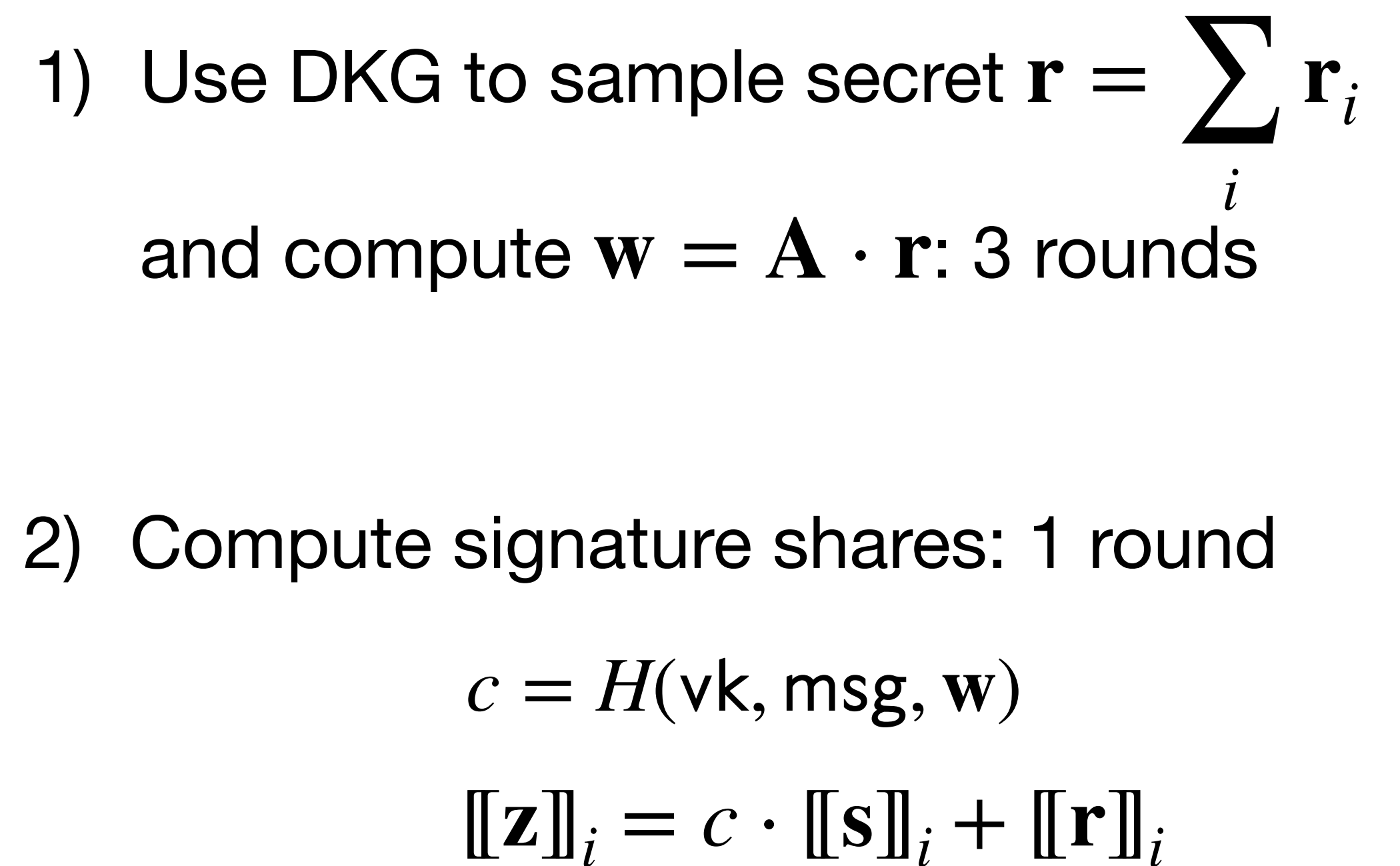
- 1) Use DKG to sample secret $\mathbf{r} = \sum_i \mathbf{r}_i$
and compute $\mathbf{w} = \mathbf{A} \cdot \mathbf{r}$: 3 rounds

Robust Signing with VSS

Threshold Raccoon



Pelican



If corruption threshold $T' \leq T/3$, Reed-Solomon error correction guarantees signature output.

3. A practical VSS with approximate shortness proof

Prior work on VSS

- Classical setting (uniform secret)
 - ◆ BGW VSS [BGW88]: IT security
 - ◆ Pedersen VSS [Ped92]: relies on DL
 - ◆ [ABCP23] based on hash functions
- VSS with shortness proof [GHL21]: quite large and DL aggregation

Our VSS

How to prove shortness of a vector s without revealing it?

Use a random projection to a smaller space!

Our VSS

How to prove shortness of a vector \mathbf{s} without revealing it?

Use a random projection to a smaller space!

Modular Johnson-Lindenstrauss lemma with offset [Ngu22]: Take a vector \mathbf{y} .

If a matrix \mathbf{R} is sampled from a discrete distribution with coefficients ± 1 with proba $\frac{1}{4}$, and 0 with proba $\frac{1}{2}$.

Then, $\|\mathbf{R} \cdot \mathbf{s} + \mathbf{y} \bmod q\|_2$ is at least as large as $C \cdot \|\mathbf{s}\|_2$ for some $C = \omega(1)$.

Use small Gaussian noise keeping enough entropy in \mathbf{s} instead of information theoretic.

Our VSS



Johnson-Lindenstrauss only applies if \mathbf{R} is sampled after \mathbf{s} and \mathbf{y} .

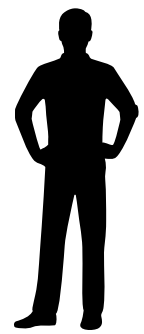
→ Solution: hash-based verifiable randomness for $N \geq 2T'$ akin to [ABCP23].

Our VSS



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Dealer
owns \mathbf{s}
samples \mathbf{y}

$[[\mathbf{s}]]_1, [[\mathbf{y}]]_1$

$[[\mathbf{s}]]_2, [[\mathbf{y}]]_2$

$[[\mathbf{s}]]_3, [[\mathbf{y}]]_3$

$[[\mathbf{s}]]_4, [[\mathbf{y}]]_4$

$[[\mathbf{s}]]_5, [[\mathbf{y}]]_5$

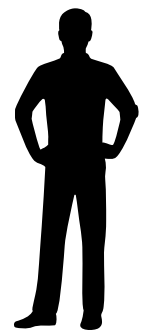


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owns \mathbf{s}
samples \mathbf{y}

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$[[\mathbf{s}]]_2, [[\mathbf{y}]]_2$

$[[\mathbf{s}]]_3, [[\mathbf{y}]]_3$

$[[\mathbf{s}]]_4, [[\mathbf{y}]]_4$

$[[\mathbf{s}]]_5, [[\mathbf{y}]]_5$

+ individual proof membership in h

Broadcast $h =$ root Merkle tree containing $([[\mathbf{s}]]_i, [[\mathbf{y}]]_i)_i$

$\mathbf{R} = H(h)$
Broadcast $\mathbf{R} \cdot [[\mathbf{s}]] + [[\mathbf{y}]]$



Our VSS

- Our VSS reveals $\mathbf{R} \cdot \mathbf{s} + \mathbf{y}$ where \mathbf{y} is Gaussian: smaller shortness gap compared to rejection sampling.
 - ◆ Not purely ZK: reduce security to Hint-MLWE
- **Approximation gap ~ 70 , vs $\gg 2500$ in [GHL21] using JL lemma**

Conclusion

Conclusion

- Framework relying on VSS to achieve robust DKG and robust signature scheme with corruption threshold $T' = T/3$.
- **Pelican:** first lattice hash-and-sign threshold signature + DKG + robustness

Pelican = application to Plover, in this presentation applied to Raccoon

- Practical VSS scheme with approximate shortness proof: slack ~ 70

κ	max T'	$ vk $	$ sig $
128	16	12.8kB	12.3kB
196	1024	25.6kB	26.4kB

Proposed parameter sets for Pelican